

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO	Confirmation Number 4949
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application Number 10/520970
(use as many sheets as necessary)	Filing Date 01/10/2006
Sheet 1 of 2	First Named Inventor Matthias Hauser
	Group Art Unit 1611
	Examiner Name Barbara S. Frazier
	Attorney/Deecktor Number J8.J2127USPCT

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

U.S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Exam Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>

Examiner Signature	Date Considered
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **(Applicant's unique citation designation number (optional).)** See [www.uspto.gov](http://www.uspto.gov). Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). **For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.** Kind of language by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. **Applicant is to place a check mark here if English language Translation is attached.**

This collection of information is required by 37 CFR 1.97 and 1.98. The information required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering of time, you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

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Examiner Name	Barbara S. Frazier
Attorney Docket Number	J&J2127USPCT

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/James P. Barr/	Date (YYYY-MM-DD)	May 9, 2008
Name/Print	James P. Barr	Registration Number	32,882

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